FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

| OMB APPROVAL             |      |  |  |  |  |  |
|--------------------------|------|--|--|--|--|--|
| 3235-                    |      |  |  |  |  |  |
| OMB Number:              | 0104 |  |  |  |  |  |
| Estimated average burden |      |  |  |  |  |  |
| hours per response:      | 0.5  |  |  |  |  |  |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* Herman Craig A  2. Date Requirir (Month/08/08/                            |               | statement<br>/Year) | 3. Issuer Name and Ticker or Trading Symbol  AWARE INC /MA/ [ AWRE ]  |                              |                                    |  |   |  |  |
|--|---------------|---------------------|---|------------------------------|------------------------------------|--|---|--|--|
| (Last) (First) (Midd 76 BLANCHARD ROAD  (Street) BURLINGTON MA 018 (City) (State) (Zip)                            | e)            |                     | 4. Relationship of Reporting Issuer (Check all applicable)  Director  X Officer (give title below)  Chief Revenue | 10% C<br>Other<br>below)     | Owner (specify (                   | Person   | /Year)  pint/Group Filing e Line) by One Reporting by More than One |  |  |
|  | Table I - Non | -Derivativ          | ve Securities Benefi  | cially O                     | wned                               |  |   |  |  |
| 1. Title of Security (Instr. 4)  |               |                     | 2. Amount of Securities<br>Beneficially Owned (Instr.<br>I)   |                              |                                    | 4. Nature of Indirect Beneficial<br>Ownership (Instr. 5) |   |  |  |
| Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |               |                     |   |                              |                                    |  |   |  |  |
| 1. Title of Derivative Security (Instr. 4)  2. Date Exercisable and Expiration Date (Month/Day/Year)               |               | ate                 | Underlying Derivative Security (Instr. 4) Conv  |                              | Conversion or Exercis              | e Form:  | 6. Nature of Indirect Beneficial Ownership (Instr.                  |  |  |
|  | Date          | Expiration          |   | Amount<br>or<br>Number<br>of | Price of<br>Derivative<br>Security | Direct (D)<br>or Indirect<br>(I) (Instr. 5)              | 5)  |  |  |

Explanation of Responses:

## Remarks:

August 8, 2022 is the effective date of the reporting person's appointment as Chief Financial Officer of the Issuer's Board of Directors

No securities are beneficially owned.

<u>Craig A. Herman</u> <u>08/19/2022</u>

\*\* Signature of Reporting

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 5 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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