SEC Form 3 FORM 3

UNITED STATES SECURITIES AND EXCHANGE

COMMISSION

Washington, D.C. 20549

OMB Number: **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF**

OMB APPROVAL

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SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] AJ Nadell	2. Date of Event Requiring Stateme (Month/Day/Year) 01/16/2024	ent	3. Issuer Name and Ticker or Trading Symbol <u>AWARE INC /MA/</u> [AWRE]								
(Last) (First) (Middle) 76 BLANCHARD ROAD (Street) BURLINGTON MA 01803 (City) (State) (Zip)	01/10/2024				wner 6 (specify (f	f Amendment, Date of Original ed (Month/Day/Year) Individual or Joint/Group Filing neck Applicable Line) Form filed by One Reporting Person Form filed by More than One Reporting Person					
Table I - Non-Derivative Securities Beneficially Owned											
1. Title of Security (Instr. 4)			. Amount of Securities Beneficially Owned (Instr.)			4. Nature of Indirect Beneficial Ownership (Instr. 5)					
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)											
1. Title of Derivative Security (Instr. 4) Expiration Date (Month/Day/Yea		e and	3. Title and Amount of Secur Underlying Derivative Securi (Instr. 4)		4. Conversio or Exercise Price of	ise Form:	6. Nature of Indirect Beneficial Ownership (Instr.				
	Date Expir Exercisable Date	ration	Title	Amount Deriva or Secur Number of		Direct (D) or Indirect (I) (Instr. 5)	5)				

Explanation of Responses:

Remarks:

January 16, 2024 is the effective date of the reporting person's appointment as Chief Product Officer of the Issuer's Board of Directors No securities are beneficially owned.

<u>/s</u>	/ AJ	Nad	del	1	
**	Sign	atura	of E		rtir

01/23/2024

Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.