FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| washington, D.C. 200 |
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| OMB APPROVAL | | | | | | | | | |
|--------------------------|-----------|--|--|--|--|--|--|--|--|
| OMB Number: | 3235-0287 | | | | | | | | |
| Estimated average burden | | | | | | | | | |
| hours nor reasoness: | 0.5 | | | | | | | | |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| | | | | | | | | | | | | | - | | | | | | | |
|---|---|--|--|--|--|---|--|--------|--|------|------------------------------|--|------------------------|---|---|----------------|---|---|--|--|
| Name and Address of Reporting Person* Evee Gary. | | | 2. Is <u>AV</u> | 2. Issuer Name and Ticker or Trading Symbol AWARE INC /MA/ [AWRE] | | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | | | | |
| Evee Gary | | | | | <u> </u> | | | | | | | | | X Directo | r | | 10% Ow | ner | | |
| (Last) | (F | rst) (I | Middle) | | | 3. Date of Earliest Transaction (Month/Day/Year) 03/07/2024 | | | | | | | | Officer below) | (give title | | Other (s below) | pecify | | |
| C/O AWARE, INC. | | | | | 4 If | 4. If Amandment, Date of Original Filed (Manth/Day) | | | | | | | | | C. Individual on Inint/Conver Filing (Charle Applicable | | | | | |
| 76 BLANCHARD ROAD | | | | 4.11 | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | | 6. Individual or Joint/Group Filing (Check Applicable Line) | | | | | | |
| 70 BEAIVEHARD ROAD | | | | | | | | | | | | | | | X Form filed by One Reporting Person | | | | | |
| (Street) BURLINGTON MA 01803 | | | | | | | | | | | | | Form f Persor | | e than | One Repor | ting | | | |
| BURLINGTON MA 01803 | | | Ь | Rule 10b5-1(c) Transaction Indication | | | | | | | | | | | | | | | | |
| | | | | | ואנ | iie i | 1-600 | (C) | Hans | acti | on mai | Callon | | | | | | | | |
| (City) (State) (Zip) | | | | Ιп | Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. | | | | | | | | | | to satisfy | | | | | |
| | | | | | <u> </u> | the an | irmative de | eiense | conditions | OIRU | ile TUDS-T(C, | . See msu | iction 10. | | | | | | | |
| | | Tab | le I - Nor | ı-Deriv | vative | Sec | urities | Acc | quired, | Dis | osed o | f, or Be | neficia | ly Owned | | | | | | |
| 1. Title of S | ecurity (Inst | r. 3) | | 2. Trans | saction | | | | 3. 4. Securities Acquired (A) | | | | | | | | | 7. Nature of | | |
| Date (Mon | | | | | e onth/Day/Year) | | Execution Date, if any (Month/Day/Year | | Code (Instr. | | Disposed Of (D) (Instr. 3, 4 | | tr. 3, 4 an | Benefici | ally (D) of (I) (II) (II) | (D) or |) or Indirect (Instr. 4) | Indirect Beneficial Ownership (Instr. 4) | | |
| | | | | | | | | | | | Amount (A) or | | . | Reporte Transac | | | | | | |
| | | | | | | | | | | l۷ | Amount | (D) | Price | (Instr. 3 | | 1 1 | | | | |
| Common Stock | | | | | | 50, | | ,557 | | D | | | | | | | | | | |
| | | Т | able II - | Deriva | ative : | Secu | rities A | 7can | ired. D | isno | sed of | or Ben | eficially | Owned | | , | <u> </u> | | | |
| | | | | | | | | | | | onvertik | | | | | | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deeme Execution if any (Month/Day | Date, | 4. Transaction Code (Instr. 8) | | of | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | | 7. Title and Amoun of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s (Instr. 4) | e s illy | Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Beneficial Ownership (Instr. 4) | | |
| | | | | | | | | | | | | | Amount or Number | | | | | | | |
| | | | | | Code | v | (A) | (D) | Date Exercisal | | Expiration Date | Title | of Shares | | | | | | | |
| Unrestricted Stock | \$0.00 | 03/07/2024 | | | A | | 25,510 | П | (1) | 1 | (1) | Common Stock | 25,510 | \$0.00 | 25,51 | 0 | D | | | |

Explanation of Responses:

1. Shares of unrestricted stock awarded to reporting person under 2023 Equity and Incentive Plan of Aware, Inc. The shares will be issued as follows; 12,755 shares on June 30, 2024, and 12,755 shares on December 31, 2024 provided the reporting person is serving as a director, officer or employee of the Company or any subsidiary of the Company on said dates.

Remarks:

/s/ Gary Evee

03/11/2024

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.